

Appendix 2c: Audits Planned linked to Corporate Risks

	Corporate Risk	Audit work providing assurance in 2015/16	
1	Balanced Budget All audit work could identify financial issues. Those listed are most directly related to the risk.	Procurement	Income Collection
		Key Financial Systems	Contract Management
		Working with the Counter Fraud & Investigations Team	Major Projects
		Procurement Review Group Requests	Fostering and Adoption, Payments to Parents
		Adult Social Care, Personal Budgets Payments	Adult Social Care, Residential Care Placements
		Adult Social Care, Introduction of the Care Act's "Care Cap"	IT Procurement, Implementing the 2014/15 Action Plan
2	Workforce Development and Planning	None	
3	Reputational Damage All audit work could identify reputational risk issues. Those listed are most directly related to the risk.	Safeguarding, Anti Child Sexual Exploitation Arrangements	Safeguarding, Managing Safeguarding Action Plans
		Adult Social Care, Managing Care Quality Commission Action Plans	Adult Social Care, Quality Assurance of Case Files
		Children's Services, Quality Assurance of Case Files, Implementing the 2014/15 Action Plan	Children's Services, Managing Ofsted Action Plans, Implementing the 2014/15 Action Plan
		Recruitment, Pre-employment Checks	Complaints Handling
		Certifying Grant Claims	

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	Corporate Risk	Audit work providing assurance in 2015/16	
4	Business Continuity	Business Continuity	IT Data Security
		IT Disaster Recovery	Public Health, Health Protection
5	Financial Implications of Legislation / Government Policy Changes	Adult Social Care, Introduction of the Care Act's "Care Cap"	Public Health, 0 to Five Year Old Commissioning
6	Impact of Health Service Reforms	Public Health, 0 to Five Year Old Commissioning	Partnership Management, Section 75 Agreements
7	Regeneration	Major Projects, Airport Business Park	City Deal and Local Growth Fund
		Local Authority Housing Company	
8	School Inspection Regime	Special Educational Needs	Early Years Services
		School Improvement, School Support and Improvement Board Development	Programme of Schools Audits including following up some reports issued in 2014/15
		Children's Services, Managing Ofsted Action Plans, Implementing the 2014/15 Action Plan	
9	Airport Business Park	Major Projects, Airport Business Park	
10	Single Inspection for Children's Social Care	Children's Services, Quality Assurance of Case Files, Implementing the 2014/15 Action Plan	Children's Services, Managing Ofsted Action Plans, Implementing the 2014/15 Action Plan